

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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EFS ID: 20452  
Application ID: 10065946  
Title of Invention: METHOD AND APPARATUS FOR  
SUPPRESSING DIESEL ENGINE  
EMISSIONS  
First Named Inventor: Aaron Simon  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-12-03  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 122020  
Digital Certificate Holder: cn=Robert B. Reeser, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: dX3Xk4wwcG/j3+pdah8bnA==  
Total Fees Authorized: \$1218.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 12384  
Deposit Account Name: Robert B. Reeser III

## TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

122020

Submission Type: Utility Patent  
Filing**METHOD AND APPARATUS FOR  
SUPPRESSING DIESEL ENGINE  
EMISSIONS**

First Named Inventor: Aaron Joseph Simon

## SUBMITTED BY

Name:	Robert B. Reeser III
Registration Number:	45548
Electronic Signature Mark: Robert B. Reeser III	Date Signed: 20021203

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

## Attached Files:

declaration	Dec1.tif
declaration	Dec2.tif

bibb-transmittal  
patent-assignments  
us-information-disclosure-statement  
fee-transmittal  
specification

12764-121apds.xml  
12764-121asgn.xml  
12764-121ids.xml  
12764-121fee.xml  
Document3.xml

**Attached Image File(s):**

Dec1.tif  
Dec2.tif

**Comments:**

Attorney's Docket No.  
122020

My residence, post office address and citizenship are as stated below next to my name.

(check one)    [ T ]       is attached hereto

[     ]       was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____

<u>Application Serial No.</u>	<u>Filing Date</u>	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Ann M. Agosti, Reg. No. 37,372; Bernadette M. Bennett, Reg. No. 44,532; Jill M. Breedlove, Reg. No. 32,684; S. Bruce Brown, Reg. No. 44,569; Christian G. Cabou, Reg. No. 35,467; Penny Clark, Reg. No. 46,627; David C. Goldman, Reg. No. 34,336; Donald S. Ingraham, Reg. No. 33,714; Noreen C. Johnson, Reg. No. 38,929; Patrick K. Patnode, Reg. No. 40,121; Robert P. Santandrea, Reg. No. 45,072; Jean K. Testa, Reg. No. 39,396; John F. Thompson, Reg. No. 43,953; Toan P. Vo, Reg. No. 43,225, all of General Electric Company, 1 Research Circle, Niskayuna, NY 12309; Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; James W. Mitchell, Reg. No. 25,602; Scott R. Hayden, Reg. No. 41,821; Catherine J. Winter, Reg. No. 38,364, all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reesser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; and Daniel M. Fitzgerald, Reg. No. 38,880, all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

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## DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.  
122020

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

**SOLE OR FIRST INVENTOR:**

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Signature: [Signature]

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Signature: \_\_\_\_\_

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# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1218**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-2384



Deposit Account Name: ARMSTRONG TEASDALE LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: Robert B. Reeser III

Electronic Signature Mark: Robert B. Reeser III

Date Signed: 20021203

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	1001	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 35	1202	\$ 18	15	\$ 270
Independent Claims: 5	1201	\$ 84	2	\$ 168

Subtotal For Extra Claims Fees: \$ 438

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	8021	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40